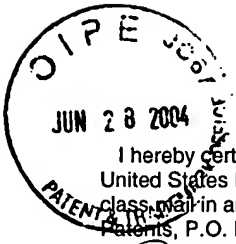



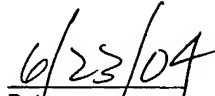
PATENT

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Deborah A. Peacock, Reg. No. 31,649

  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/785,610  
Applicant(s) : Rodney E. Herrington  
Filed : February 23, 2003  
Title : ELECTROLYTIC CELL FOR SURFACE AND POINT OF USE  
DISINFECTION  
  
TC/A.U. : 1753  
Examiner : Unknown  
  
Docket No. : 30750-1001

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior references" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Deborah A. Peacock', written over a horizontal line.

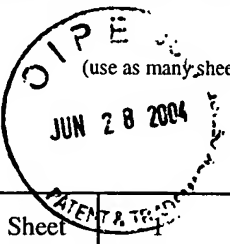
Deborah A. Peacock, Reg. No. 31,649  
Direct line: (505) 998-1501

Enclosures: PTO Form 1449  
Copy of Three (3) References

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>   (use as many sheets as necessary)			<b>COMPLETE IF KNOWN</b>	
			Application Number	10/785,610
			Filing Date	February 23, 2004
			First Named Inventor	Rodney E. Herrington
			Group Art Unit	1753
			Examiner Name	Unknown
Sheet 1	of 7	Attorney Docket Number	30750-1001	

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		RE32,144		Keefer	05/13/1986	
		RE33,135		Wanner, Sr., et al.	12/26/1989	
		1,029,580		Bane	06/18/1912	
		1,200,165		Burgess	10/03/1916	
		2,473,986		Booth	06/21/1949	
		3,222,269		Stanton	12/07/1965	
		3,365,061		Bray	01/23/1968	
		3,505,215		Bray	04/07/1970	
		3,622,479		Schneider	11/23/1971	
		3,654,148		Bradley	04/04/1972	
		3,749,524		Jordan	07/31/1973	
		3,791,768		Wanner	02/12/1974	
		3,825,122		Taylor	07/23/1974	
		4,000,065		Ladha, et al.	12/28/1976	
Examiner Signature				Date Considered		

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Sheet	2	of	7	Attorney Docket Number	30750-1001

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		4,070,280		Bray	01/24/1978	
		4,077,883		Bray	03/07/1978	
		4,124,488		Wilson	11/07/1978	
		4,151,092		Grimm, et al.	04/24/1979	
		4,187,173		Keefer	02/05/1980	
		4,288,326		Keefer	09/08/1981	
		4,290,873		Weaver	09/22/1981	
		4,306,952		Jansen	12/22/1981	
		4,321,137		Kohler	03/23/1982	
		4,367,140		Wilson	01/04/1983	
		4,389,311		La Freniere	06/21/1983	
		4,432,876		Keefer	02/21/1984	
		4,434,056		Keefer	02/28/1984	
Examiner Signature				Date Considered		

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				Examiner Name	Unknown
Sheet	3	of	7	Attorney Docket Number	30750-1001

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>3</sup>	<u>U.S. Patent Document</u> Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,496,443		Mack, et al.	01/29/1985	
		4,534,713		Wanner	08/13/1985	
		4,560,455		Porta, et al.	12/23/1985	
		4,632,754		Wood	12/30/1986	
		4,722,263		Valentin	02/02/1988	
		4,724,079		Sale, et al.	02/09/1988	
		4,744,877		Maddock	05/17/1988	
		4,756,830		Fredkin	07/12/1988	
		4,759,844		Lipschultz, et al.	07/26/1988	
		4,761,208		Gram, et al.	08/02/1988	
		4,786,380		Van Duin, et al.	11/22/1988	
		4,790,923		Stillman	12/13/1988	
		4,790,946		Jansen	12/13/1988	

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				Examiner Name	Unknown
Sheet	4	of	7	Attorney Docket Number	30750-1001

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>4</sup>	<u>U.S. Patent Document</u> Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,836,924		Solomon	06/06/1989	
		4,973,408		Keefer	11/27/1990	
		4,976,842		Fowler	12/11/1990	
		5,085,753		Sherman	02/04/1992	
		5,207,916		Goheen, et al.	05/04/1993	
		5,244,579		Horner, et al.	09/14/1993	
		5,306,428		Tonner	04/26/1994	
		5,320,718		Molter, et al.	06/14/1994	
		5,358,635		Frank, et al.	10/25/1994	
Examiner Signature				Date Considered		

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				Group Art Unit	1753
				Examiner Name	Unknown
Sheet	5	of	7	Attorney Docket Number	30750-1001

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>5</sup>	<u>U.S. Patent Document</u> Kind Code <sup>2</sup> Number (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,480,386	Brohy, et al.	01/02/1996	
		5,492,534	Athayde, et al.	02/20/1996	
		5,496,466	Gray	03/05/1996	
		5,503,736	Schoenmeyr	04/02/1996	
		5,531,887	Miers	07/02/1996	
		5,534,145	Platter, et al.	07/09/1996	
		5,540,848	Engelhard	07/30/1996	
		5,558,762	Fife, et al.	09/24/1996	
		5,581,189	Brenn	12/03/1996	
		5,597,482	Melyon	01/28/1997	
		5,685,908	Patapoff, et al.	11/11/1997	
		5,725,758	Chace, et al.	03/10/1998	
		5,795,459	Sweeney	08/18/1998	
		5,900,212	Maiden, et al.	05/04/1999	
		5,911,870	Hough	06/15/1999	
		5,958,229	Filiopoulos, et al.	09/28/1999	
Examiner Signature				Date Considered	

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				Group Art Unit	1753	
				Examiner Name	Unknown	
Sheet	6	of	7	Attorney Docket Number	30750-1001	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials	Cite No. <sup>6</sup>	<u>U.S. Patent Document</u> Kind Code <sup>2</sup> Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,989,396		Prasnikar, et al.	11/23/1999	
		6,007,686		Welch, et al.	12/28/1999	
		6,110,424		Maiden, et al.	08/29/2000	
		5,928,490		Sweeney	07/27/1999	
		6,180,014		Salama	01/30/2001	
		6,736,966		Herrington, et al.	05/18/2004	
		3,234,110		Beer	02/08/1966	
		RE32,077		deNora	02/04/1986	
		6,309,523		Prasnikar, et al.	10/30/2001	
		5,385,711		Baker, et al.	01/31/1995	
		6,524,475		Herrington, et al.	02/25/2003	
		3,996,126		Rasmussen	12/07/1976	
		4,019,986		Burris, et al.	04/26/1977	
		2002/01750 85		Harkins	11/28/2002	
		6,502,766		Streutker, et al.	01/07/2003	
		6,363,951		Wood	04/02/2002	
Examiner Signature					Date Considered	

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

30750-1001

Application Number

10/785,610

Applicant(s)

Rodney E. Herrington

Filing Date

February 23, 2004

Group Art Unit

1753

\*EXAMINER  
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Advertisement for "Steri-Pen" device marketed by Hydro-Photon, Inc. on web site located at 222.hydro-photon.com

B.B. Gupta et al. "Permeate flux enhancement by pressure and flow pulsations in microfiltration with mineral membranes." Journal of Membrane Science, 70 (1002) 257-266.

L.V. Venczel et al. "Inactivation of Cryptosporidium parvum Oocysts and Clostridium perfringens Spored by a Mixed-Oxidant Disinfectant and by Free Chlorine," Applied and Environmental Microbiology, Vol. 63, No. 4 (1997) 1598-1601

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